



National Planning  
HOLDINGS, INC.<sup>1</sup>

**For Immediate Release**

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## **National Planning Holdings, Inc. Names Jim Komoszewski President and Chief Executive Officer of Investment Centers of America, Inc.**

*NPH veteran succeeds Greg Gunderson, who is retiring after more than 22 years with the firm*

**EL SEGUNDO, Calif. – May 24, 2016** – National Planning Holdings<sup>®</sup>, Inc. (NPH), the nation's fourth-largest independent broker-dealer network<sup>1</sup>, today announced that it has appointed Jim Komoszewski as president and chief executive officer of Investment Centers of America, Inc. (ICA), one of the network's four broker-dealer firms. Effective immediately, Komoszewski replaces Greg Gunderson, who is retiring after more than 22 years with the firm.

Komoszewski, a veteran of NPH who has served across a number of key senior roles within the company, will report directly to Greg Cicotte, president and chief executive officer of NPH. Most recently, Komoszewski served as senior vice president of new business development and practice management for NPH, overseeing practice management and recruiting for the network's member firms. Among other previous leadership roles within NPH, he also served as ICA's senior vice president of practice management and relationship management, a role in which he was pivotal in creating effective strategies for advisor recruitment and retention.

"Komoszewski is a recognized pioneer, leader and innovator in the practice management field," Cicotte said. "Under his leadership, NPH has created innovative practice management programs to help support and grow our advisors' businesses with strong success," he said. "This appointment is a natural and deserved promotion that will be very well-received by ICA's advisors, who are familiar with Jim's personality, skills and passion for supporting the ICA culture and its advisors. We also thank Greg Gunderson for his many years of dedicated service to our organization, and wish him all the best in retirement."

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<sup>1</sup>Southwood, D. and Zhu, E. (2015). How the Biggest Independent Broker-Dealer Networks Stack Up: Ranking Five Big IBD Networks by Reps and Revenue. *InvestmentNews*. May 2015.

Jim Komoszewski said, "I'm very excited about returning to ICA, which feels just like coming home for me. The incredibly close and collegial culture of the firm, combined with its rigorous emphasis on practice management, a longstanding passion of mine, makes this role a perfect fit. I look forward to partnering closely with the entire ICA home office team and, most importantly, ICA's advisors to continue our collective success story for years to come."

For more information about the four independent broker-dealers in the NPH network, please visit: [www.joinica.com](http://www.joinica.com), [www.INVESTFinancial.com/public/joininvest/direct.html](http://www.INVESTFinancial.com/public/joininvest/direct.html), [www.joinnpc.com](http://www.joinnpc.com) and [www.joinsii.com](http://www.joinsii.com).

### **About National Planning Holdings, Inc.**

National Planning Holdings, Inc. (NPH) is a broker-dealer holding company and an affiliate of Lansing, Mich.-based Jackson National Life Insurance Company<sup>®</sup> (Jackson<sup>®</sup>). NPH serves as the holding company for the independent broker-dealers INVEST Financial Corporation, Investment Centers of America, Inc., National Planning Corporation and SII Investments, Inc. Collectively, the NPH broker-dealer network currently has 3,428 registered representatives (as of December 31, 2015). NPH and Jackson are wholly owned by Prudential plc (NYSE: PUK), a company incorporated in England and Wales. Prudential plc is not affiliated in any manner with Prudential Financial, Inc., a company whose principal place of business is in the United States of America.

This press release may contain certain statements that constitute "forward-looking statements." Forward-looking statements include statements concerning plans, objectives, goals, strategies, future events or performance, and underlying assumptions and other statements which are other than statements of historical facts. However, as with any projection or forecast, forward-looking statements are inherently susceptible to a number of risks and uncertainties and actual results and events could differ materially from those currently being anticipated as reflected in such forward-looking statements. There can be no assurance that management's expectations, beliefs or projections will result or be achieved or accomplished. Any forward-looking statements reflect NPH's views and assumptions as of the date of this press release and NPH disclaims any obligation to update forward-looking information.

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